

## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/625,672	07/24/2003	Keishi Saito	03500.013395.2	8898
5514	7590 03/24/2005	EXAMINER		
FITZPATRICK CELLA HARPER & SCINTO			KUNEMUND, ROBERT M	
30 ROCKEFELLER PLAZA NEW YORK, NY 10112			ART UNIT	PAPER NUMBER
			1722	
			DATE MAILED: 03/24/2005	

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)				
	10/625,672	SAITO ET AL.				
Office Action Summary	Examiner	Art Unit				
	Robert M Kunemund	1765				
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply						
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).						
Status						
1) Responsive to communication(s) filed on 03 January 2005.						
3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is						
closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.						
Disposition of Claims						
4) Claim(s) 20-25 is/are pending in the application.						
4a) Of the above claim(s) <u>23</u> is/are withdrawn from consideration.						
5) Claim(s) is/are allowed.						
6)⊠ Claim(s) <u>20-22,24 and 25</u> is/are rejected.						
7) Claim(s) is/are objected to.						
8) Claim(s) are subject to restriction and/or						
Application Papers						
9)  The specification is objected to by the Examiner.						
10) ☐ The drawing(s) filed on is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.						
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).						
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).						
11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.						
Priority under 35 U.S.C. § 119						
12)⊠ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).						
a) ⊠ All b) ☐ Some * c) ☐ None of:						
1. Certified copies of the priority documents have been received.						
2.⊠ Certified copies of the priority documents have been received in Application No. <u>09266829</u> .						
3. Copies of the certified copies of the priority documents have been received in this National Stage						
application from the International Bureau (PCT Rule 17.2(a)).						
* See the attached detailed Office action for a list of the certified copies not received.						
-	•					
Attachment(s)						
1) Notice of References Cited (PTO-892)  4) Interview Summary (PTO-413)						
2) Notice of Draftsperson's Patent Drawing Review (PTO-948) Paper No(s)/Mail Date						
3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date	5) Notice of Informal P 6) Other:	ratent Application (PTO-152)				
J.S. Patent and Trademark Office PTOL-326 (Rev. 1-04) Office Ac	tion Summary Pa	art of Paper No./Mail Date 20050318				

## **DETAILED ACTION**

Claim 23 is withdrawn from further consideration pursuant to 37 CFR 1.142(b), as being drawn to a nonelected invention, there being no allowable generic or linking claim. Applicant timely traversed the restriction (election) requirement in the reply filed on January 3, 2005.

Applicant's election with traverse of Group I in the reply filed on January 3, 2005 is acknowledged. The traversal is on the ground(s) that the search is not an undue burden. This is not found persuasive because ion implantation is clearly a different and distinct process form the process of crystal growth in the other group. The search for ion implantation is divergent from the search for the crystal growth mechanisms of groups I. Therefore, there is a burden on the office.

The requirement is still deemed proper and is therefore made FINAL.

## Claim Rejections - 35 USC § 103

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Art Unit: 1765

Claims 20 to 22 are rejected under 35 U.S.C. 103(a) as being unpatentable over Matsuyama (5,913,986).

The Matsuyama reference teaches a method of growing a layered semiconductor structure, note entire reference. On a substrate, a first layer of semiconductor is deposited by standard means. The first layer has a first electrical characteristic. Then a second layer is deposited onto the first layer. The second layer has a second different electrical characteristic, note example 7. The formed structure has micrograins extending over the first layer. The means of growth can bye CVD, or plasma CVD, note col. 20. The sole difference between the instant claims and the prior art is the annealing step. However, in the absence of unexpected results, it would have been obvious to one of ordinary skill in the art to determine through routine experimentation the optimum, operable means of crystallization in the Matsuyama process in order to create the desired grain structure as annealing is a well known crystallization step which will not destroy the electric characteristics.

Claims 24 and 25 are rejected under 35 U.S.C. 103(a) as being unpatentable over Matsuyama (5,913,986).

The Matsuyama reference is relied on for the same reasons as stated, supra, and differs from the instant claims in the changes to the plasma parameters. .

However, in the absence of unexpected results, it would have been obvious to one of ordinary skill in the art to determine through routine experimentation the optimum, operable parameters of the plasma in the Matsuyama process in order to deposit layers with different electric properties and dopants.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Robert M Kunemund whose telephone number is 571-272-1464. The examiner can normally be reached on 8 hours.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Nadine Norton can be reached on 571-272-1465. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

RMK